FORM PFS PERSONAL FINANCIAL STATEMENT **COVER SHEET** PAGE 1 PAGE# Filed in accordance with chapter 572 of the Government Code. 49 For filings required in 2017, covering calendar year ending December 31, 2016. ACCOUNT # Use FORM PFS--INSTRUCTION GUIDE when completing this form. 00020990 1 NAME TITLE; FIRST; MI **OFFICE USE ONLY** The Honorable Royce Date Received **ELECTRONICALLY FILED** NICKNAME; LAST; SUFFIX 06/09/2017 West 2 ADDRESS ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP Receipt # 320 South R. L. Thornton Freeway Suite 300 HD / PM Amount Dallas, TX 75203-1804 Date Processed (CHECK IF FILER'S HOME ADDRESS) **TELEPHONE** AREA CODE PHONE NUMBER; EXTENSION Date Imaged NUMBER REASON FOR FILIING STATEMENT CANDIDATE _____ (INDICATE OFFICE) ELECTED OFFICER Senate (INDICATE OFFICE) APPOINTED OFFICER ______ (INDICATE AGENCY) EXECUTIVE HEAD __ (INDICATE AGENCY) FORMER OR RETIRED JUDGE SITTING BY ASSIGNMENT STATE PARTY CHAIR ______ (INDICATE PARTY) OTHER _____ (INDICATE POSITION) **5** Family members whose financial activity you are reporting (see instructions). Carol West SPOUSE **DEPENDENT CHILD** In Parts 1 through 18, you will disclose your financial activity during the preceding calendar year. In Parts 1 through 14, you are required to disclose not only your own financial activity, but also that of your spouse or a dependent child (see instructions).

SOURCES OF OCCUPATIONAL INCOME PART 1A If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. 1 INFORMATION RELATES TO X FILER SPOUSE DEPENDENT CHILD ___ 2 EMPLOYMENT NAME AND ADDRESS OF EMPLOYER / POSITION HELD X EMPLOYED BY ANOTHER (Check if Filer's Home Address) **EMPLOYER** STATE OF TEXAS ADDRESS / PO BOX; APT / SUITE #; CITY: STATE: ZIP CODE 1400 CONGRESS AVENUE ROOM 1E.3 AUSTIN, TX 78701-1932 **POSITION HELD** STATE SENATOR NATURE OF OCCUPATION SELF-EMPLOYED INFORMATION RELATES TO X FILER SPOUSE DEPENDENT CHILD _ **EMPLOYMENT** NAME AND ADDRESS OF EMPLOYER / POSITION HELD X EMPLOYED BY ANOTHER (Check if Filer's Home Address) **EMPLOYER** ROYCE WEST & ASSOCIATES, P.C. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE 320 SOUTH R.L. THORNTON FREEWAY SUITE 300 DALLAS, TX 75203-1804 POSITION HELD **PRESIDENT** NATURE OF OCCUPATION SELF-EMPLOYED INFORMATION RELATES TO X FILER SPOUSE DEPENDENT CHILD ___ **EMPLOYMENT** NAME AND ADDRESS OF EMPLOYER / POSITION HELD X EMPLOYED BY ANOTHER (Check if Filer's Home Address) **EMPLOYER** WEST & ASSOCIATES, LLP ADDRESS / PO BOX; APT / SUITE #; CITY: STATE; ZIP CODE 320 SOUTH R. L. THORNTON FREEWAY SUITE 300 DALLAS, TX 75203-1804 POSITION HELD MANAGING PARTNER

SELF-EMPLOYED

NATURE OF OCCUPATION

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List each business entity in which you, your spouse, or a dependent child held or acquired stock during the calendar year and indicate the category of the number of shares held or acquired. If some or all of the stock was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE.

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	BUSINESS ENTITY	ALTRIA GROUP INC	1	NAME	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	AMAZON COM INC C		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
	NUMBER OF SHARES	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	AMERICAN AIRLINES		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	AMERICAN INTERNA		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE

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	BUSINESS ENTITY	APPLE INC	1	NAME	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
3	NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	AT&T INC	1	NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	BANK OF AMERICA (NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	BLACKROCK SCIENC		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
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1	BUSINESS ENTITY	BLUE BUFFALO PET		NAME	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
		LESS THAN 10K	10,000 OR MORE		
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	CATERPILLAR INC	I	NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
	NUMBER OF SHARES	LESS THAN 100	100 TO 499	X 500 TO 999	1,000 TO 4,999
		LESS THAN 10K	10,000 OR MORE		
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
F	BUSINESS ENTITY			NAME	
	BUSINESS ENTITY	CSI COMPRESSCO	1	NAME	
	BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	CSI COMPRESSCO	SPOUSE	NAME DEPENDENT CHILD)
	STOCK HELD OR				1,000 TO 4,999
_	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	_
	STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	_
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 X 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	SPOUSE 100 TO 499 X 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 ENERGY TRANSFER	SPOUSE 100 TO 499 X 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 ENERGY TRANSFER X FILER	SPOUSE 100 TO 499 X 10,000 OR MORE \$5,000 - \$9,999 PTNRS LP SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 ENERGY TRANSFER X FILER LESS THAN 100	SPOUSE 100 TO 499 X 10,000 OR MORE \$5,000 - \$9,999 PTNRS LP SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1	BUSINESS ENTITY	ENERGY XXI GULF C		NAME	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	ENERGY XXI GULF C	1 COAST INC WTS EXPIF	NAME RING 12/30/21	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES	X LESS THAN 100 LESS THAN 10K	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
F	DUOINEGO ENTITY			LANGE	
	BUSINESS ENTITY	FACEBOOK-INC CLA		NAME	
	BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	FACEBOOK-INC CLA		NAME DEPENDENT CHILD)
	STOCK HELD OR		SS A		X 1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	SS A SPOUSE 100 TO 499	DEPENDENT CHILD	
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	SS A SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	X 1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY	X FILER LESS THAN 100 LESS THAN 10K	SS A SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	SS A SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 FLOUR CORP NEW	SS A SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 FLOUR CORP NEW X FILER	SS A SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	X 1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 FLOUR CORP NEW X FILER LESS THAN 100	SS A SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	X 1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	FREEPORT MCMORA		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	JPMORGAN CHASE		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	100 TO 499	X 500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
BUSINESS ENTITY	LAS VEGAS SANDS (NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	LAS VEGAS SANDS (NAME DEPENDENT CHILD)
STOCK HELD OR		CORP		1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	CORP SPOUSE	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER LESS THAN 100 LESS THAN 10K	CORP SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD X 500 TO 999	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	CORP SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD X 500 TO 999 S10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 MASTERCARD INC	CORP SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 MASTERCARD INC X FILER	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 MASTERCARD INC X FILER LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 SPOUSE X 100 TO 499	DEPENDENT CHILD X 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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	BUSINESS ENTITY	NETFLIX COM INC	1	NAME	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
3	NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	NIKE INC CL B	1	NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	ROCKWELL MEDICA		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	SALESFORCE COM I		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE

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1	BUSINESS ENTITY	SOUTHWEST AIRLIN		NAME	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
3	NUMBER OF SHARES	LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
		X LESS THAN 10K	10,000 OR MORE		
4	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	SQUARE INC CL A	1	NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
	NUMBER OF SHARES	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
		LESS THAN 10K	10,000 OR MORE		
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	•				
	BUSINESS ENTITY		1	NAME	
	BUSINESS ENTITY	WAL-MART STORES		NAME	
	STOCK HELD OR ACQUIRED BY	WAL-MART STORES X FILER		NAME DEPENDENT CHILD)
	STOCK HELD OR		INC		X 1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY	X FILER	INC SPOUSE	DEPENDENT CHILD	
	STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER LESS THAN 100 LESS THAN 10K	INC SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	X 1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	INC SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 YAHOO INC	INC SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
=	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 YAHOO INC X FILER	INC SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	X 1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 YAHOO INC X FILER LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	X 1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	ABERDEEN JAPAN E		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	BLACKROCK GLOBA	I L DIVIDEND PORTFOI	NAME LIO INST	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD X NET GAIN ☐ NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			VAME	
DOGINESS ENTIT	BLACKROCK RES & 0		V WIL	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	·
NUMBER OF SHARES	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	LESS THAN \$5,000	× \$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	CALIFORNIA ST PRE	r REFUNDED-VAR PRF	NAME 16	
STOCK HELD OR				
ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
ACQUIRED BY NUMBER OF SHARES	X FILER LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILE	1,000 TO 4,999
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1 BUSINESS ENTITY		N	NAME	
	CALIFORNIA ST UNR	EFUNDED		
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	X LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	COHEN & STEERS M		NAME OPPORTUNITY FD INC	ССОМ
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		N	NAME	
	COLUMBIA SELECT I	LARGE CAP GROWTH	FUND CL Z	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K			
		10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	10,000 OR MORE \$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
		\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		\$5,000 - \$9,999		\$25,000OR MORE
X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999		
BUSINESS ENTITY STOCK HELD OR	X LESS THAN \$5,000 COL EURO EQ FD CL	\$5,000 - \$9,999	NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X LESS THAN \$5,000 COL EURO EQ FD CL X FILER	\$5,000 - \$9,999 Z FUND CL Z SPOUSE	NAME DEPENDENT CHILE)

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1 BUSINESS ENTITY	COLUMBIA SELIGMA	N PREM TECHNOLOG	NAME BY GROWTH FD INC	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	EATON VANCE TAX I	I DIV INC DIVIDEND INC	NAME COME FUND	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
DUCINECS ENTITY		,	NAME	
BUSINESS ENTITY	EXXON MOBIL CORP		NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	EXXON MOBIL CORF		NAME DEPENDENT CHILE)
STOCK HELD OR		СОМ		X 1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	<u></u>
STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	<u></u>
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	COM SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	X 1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY	X FILER LESS THAN 100 LESS THAN 10K	COM SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	COM SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 FIRST TR FINANCIAL	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 FIRST TR FINANCIAL X FILER	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 S ALPHADEX FUND SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	X 1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 FIRST TR FINANCIAL X FILER LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 S ALPHADEX FUND SPOUSE X 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	X 1,000 TO 4,999 \$25,000OR MORE

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1	BUSINESS ENTITY	FRANKLIN RISING DI		NAME	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	500 TO 999	X 1,000 TO 4,999
4	IF SOLD X NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	X \$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	GLOBAL X SOCIAL M		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
	NUMBER OF SHARES	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	GUGGENHEIM RUSS		NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES	X LESS THAN 100 LESS THAN 10K	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	GUGGENHEIM S&P 5	1 EQUAL WEIGHT E	NAME TF	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
l		LESS THAN 10K	10,000 OR MORE		
	IF SOLD X NET GAIN NET LOSS	LESS THAN 10K X LESS THAN \$5,000	10,000 OR MORE \$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE

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1 BUSINESS ENTITY	ISHARES CORE S&P		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	ISHARES IBOXX\$ HIG	GH YIEL CORPORATE	NAME BOND	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
	ISHARES INC CORE	MSCI EMERGING MK		
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	ISHARES INC CORE)
STOCK HELD OR		MSCI EMERGING MK	TS ETF	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	MSCI EMERGING MK	S ETF DEPENDENT CHILE	_
STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	MSCI EMERGING MKT	S ETF DEPENDENT CHILE	_
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	MSCI EMERGING MKT SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN X NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	MSCI EMERGING MKT SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	SETF DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 ISHARES MSCI BRAZ	MSCI EMERGING MKT SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 ISHARES MSCI BRAZ	MSCI EMERGING MKT SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 ISHARES MSCI BRAZ X FILER LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 ZIL SPOUSE X 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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00 TO 4,999
5,000OR MORE
00 TO 4,999
6,000OR MORE
00 TO 4,999
,000OR MORE
,000OR MORE

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1 BUSINESS ENTITY		!	NAME	
	ISHARES S&P 100			
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILL	D
3 NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	ISHARES U.S. TELEC	COMMUNICATIONS ET	NAME F	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILI	D
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	IVY SCIENCE & TECH		NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	IVY SCIENCE & TECH		NAME DEPENDENT CHILE	D
STOCK HELD OR	_	HNOLOGY FD CL I		D
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE	
STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILE	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	HNOLOGY FD CL I SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K	HNOLOGY FD CL I SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	HNOLOGY FD CL I SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 MARKET VECTORS E	HNOLOGY FD CL I SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 MARKET VECTORS E X FILER	HNOLOGY FD CL I SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 MARKET VECTORS E X FILER LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE SFOUSE SFOUSE SPOUSE X 100 TO 499 10,000 OR MORE 10,000 OR MORE 10,000 OR MORE	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY				
1 BOSINESS ENTITY	NUVEEN BUILD AME		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	NUVEEN MORTGAGE	I OPPORTUNITY TER	NAME M FUND	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			114 A 4 =	
20011200 211111	NUV SNTA BARB DIV	GRW I DIVIDEND GR	NAME OWTH FD CL I	
STOCK HELD OR ACQUIRED BY	NUV SNTA BARB DIV)
STOCK HELD OR		GRW I DIVIDEND GR	OWTH FD CL I	X 1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	GRW I DIVIDEND GR	OWTH FD CL I	
STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	GRW I DIVIDEND GR	OWTH FD CL I	<u></u>
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN	X FILER LESS THAN 100 LESS THAN 10K	GRW I DIVIDEND GR SPOUSE 100 TO 499 10,000 OR MORE X \$5,000 - \$9,999	OWTH FD CL I DEPENDENT CHILD 500 TO 999	X 1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000	GRW I DIVIDEND GR SPOUSE 100 TO 499 10,000 OR MORE X \$5,000 - \$9,999	OWTH FD CL I DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 OPPEN INTL GROWT	GRW I DIVIDEND GR SPOUSE 100 TO 499 10,000 OR MORE X \$5,000 - \$9,999	OWTH FD CL I DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	X 1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 OPPEN INTL GROWT	GRW I DIVIDEND GR SPOUSE 100 TO 499 10,000 OR MORE X \$5,000 - \$9,999	OWTH FD CL I DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	X 1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K LESS THAN \$5,000 OPPEN INTL GROWT X FILER X LESS THAN 100	GRW I DIVIDEND GR SPOUSE 100 TO 499 10,000 OR MORE \$\$5,000 - \$9,999	OWTH FD CL I DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	X 1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	OPPENHEIMER DEVI	i ELOPING MARKETS F	NAME D CL Y	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	PAX WORLD SMALL	I CAP FUND INSTITUTION	NAME ONAL CL	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY			NAME	
BUSINESS ENTITY	POWERSHARES QQ		NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	POWERSHARES QQ		NAME DEPENDENT CHILE)
STOCK HELD OR		Q TR UNITS SER 1		1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	Q TR UNITS SER 1	DEPENDENT CHILE	_
STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	Q TR UNITS SER 1 SPOUSE 100 TO 499	DEPENDENT CHILE	_
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	Q TR UNITS SER 1 SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	Q TR UNITS SER 1 SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 PRINCIPAL GLB DIVI	Q TR UNITS SER 1 SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 PRINCIPAL GLB DIVI X FILER	Q TR UNITS SER 1 SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 INC P SPOUSE	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 PRINCIPAL GLB DIVI X FILER LESS THAN 100	Q TR UNITS SER 1 SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 INC P SPOUSE X 100 TO 499	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	PROSHARES S&P 50	1 O DIVIDEND ARISTOC	NAME RATS ETF	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD X NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	X \$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	SA FOCUSED ALPHA	1 NGROWTH FUND CL V	NAME V	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY		1	NAME	
	SECTOR SPDR ENER		NAME	
BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	SECTOR SPDR ENER		NAME DEPENDENT CHILE)
STOCK HELD OR		RGY		1,000 TO 4,999
STOCK HELD OR ACQUIRED BY	X FILER	RGY SPOUSE	DEPENDENT CHILD	_
STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	RGY SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	RGY SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 SECTOR SPDR INDU	RGY SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 SECTOR SPDR INDU X FILER	RGY SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 STRIAL SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN X NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 SECTOR SPDR INDU X FILER X LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 STRIAL SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1	BUSINESS ENTITY	SPDR DOW JONES I	n NDUST AV ETF TRUST	NAME Γ	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
		LESS THAN 10K	10,000 OR MORE		
4	IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	SPDR GOLD TRUST	1	NAME	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
	NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
		LESS THAN 10K	10,000 OR MORE		
	IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
ı	BLICINESS ENTITY			NAME	
	BUSINESS ENTITY	SPDR KBW REGIONA		NAME	
	STOCK HELD OR ACQUIRED BY	SPDR KBW REGIONA		NAME DEPENDENT CHILD)
	STOCK HELD OR		AL BANKIN ETF		1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY	X FILER	AL BANKIN ETF	DEPENDENT CHILD	
	STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	
_	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	AL BANKIN ETF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	AL BANKIN ETF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 SPDR S P BRIC 40	AL BANKIN ETF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 SPDR S P BRIC 40 X FILER	AL BANKIN ETF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 SPDR S P BRIC 40 X FILER LESS THAN 100	AL BANKIN ETF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1. BUSINESS ENTITY SPOR S P EMERG ASIA PAC							
SPOUSE	1	BUSINESS EN	TITY	SPDR S P EMERG AS		NAME	
LESS THAN 100	2			X FILER	SPOUSE	DEPENDENT CHILD)
IF SOLD	3	NUMBER OF S	HARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
BUSINESS ENTITY				LESS THAN 10K	10,000 OR MORE		
SPDR S&P INTL TELECOM SECTOR	4	IF SOLD		X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
X FILER		BUSINESS EN	TITY	SPDR S&P INTL TELE		NAME	
				X FILER	SPOUSE	DEPENDENT CHILD)
F SOLD		NUMBER OF S	HARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
STOCK HELD OR STOCK HELD O				LESS THAN 10K	10,000 OR MORE		
SPDR S&P 500 ETF TRUST		IF SOLD	_	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
SPDR S&P 500 ETF TRUST							
NUMBER OF SHARES		BUSINESS EN	TITY			NAME	
X LESS THAN 100				SPDR S&P 500 ETF T		NAME	
IF SOLD		STOCK HELD	OR	_	RUST)
NET LOSS		STOCK HELD (ACQUIRED BY	OR	X FILER	SPOUSE	DEPENDENT CHILD	
SPDR US DVDND ARISTOCRAT ETF STOCK HELD OR ACQUIRED BY X FILER SPOUSE DEPENDENT CHILD NUMBER OF SHARES LESS THAN 100 100 TO 499 X 500 TO 999 1,000 TO 4,999 LESS THAN 10K 10,000 OR MORE IF SOLD NET GAIN IF SOLD NET GAIN IF SOLD STRAN \$5,000 55,000 \$9,900 X \$10,000 \$24,900 \$25,000 OR MORE		STOCK HELD (ACQUIRED BY	OR	X FILER X LESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	
NUMBER OF SHARES ☐ LESS THAN 100 ☐ 100 TO 499 ☒ 500 TO 999 ☐ 1,000 TO 4,999 ☐ LESS THAN 10K ☐ 10,000 OR MORE		STOCK HELD (ACQUIRED BY	OR SHARES X NET GAIN	X FILER X LESS THAN 100 LESS THAN 10K	SPOUSE 100 TO 499 10,000 OR MORE	DEPENDENT CHILD	1,000 TO 4,999
LESS THAN 100		STOCK HELD (ACQUIRED BY NUMBER OF S	OR SHARES X NET GAIN NET LOSS	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	RUST SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
IF SOLD		STOCK HELD ACQUIRED BY NUMBER OF S IF SOLD BUSINESS EN	OR SHARES X NET GAIN NET LOSS TITY OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 SPDR US DVDND AR	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
LESS THAN \$5 000 \$5 000 \$24 000 \$25 0000 \$25 000 \$25 000 \$25 000 \$25 000 \$25 000 \$25 000 \$25 000 \$25 000 \$25		STOCK HELD OF ACQUIRED BY NUMBER OF STOCK HELD OF ACQUIRED BY	OR SHARES X NET GAIN NET LOSS TITY OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 SPDR US DVDND AR X FILER	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 ISTOCRAT ETF SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
		STOCK HELD OF ACQUIRED BY NUMBER OF STOCK HELD OF ACQUIRED BY	OR SHARES X NET GAIN NET LOSS TITY OR	X FILER X LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 SPDR US DVDND AR X FILER LESS THAN 100	SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 ISTOCRAT ETF SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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1	BUSINESS ENTITY	SUNAMER FOC DIV		NAME	
2	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
3	NUMBER OF SHARES	LESS THAN 100	100 TO 499 10,000 OR MORE	☐ 500 TO 999	X 1,000 TO 4,999
4	IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000		\$10,000 - \$24,999	\$25,000OR MORE
E					
	BUSINESS ENTITY	SUNAMERICA INTER	RNATIONAL DIVIDEND	NAME STRAT CL W	
	STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILE)
	NUMBER OF SHARES	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
_					
	DUIGINEGO ENTITY			114 A 4 =	
	BUSINESS ENTITY	TOUCHSTONE SAND	OS CAPITAL SELECT G	NAME GROWTH FUND CL Y	
	STOCK HELD OR ACQUIRED BY	TOUCHSTONE SAND)
	STOCK HELD OR		OS CAPITAL SELECT (GROWTH FUND CL Y	1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY	X FILER	OS CAPITAL SELECT G	GROWTH FUND CL Y	_
	STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100	SPOUSE X 100 TO 499 10,000 OR MORE	GROWTH FUND CL Y	_
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K	SPOUSE SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	GROWTH FUND CL Y ☐ DEPENDENT CHILE ☐ 500 TO 999 ☐ \$10,000 - \$24,999	
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET GAIN	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	SPOUSE SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	GROWTH FUND CL Y ☐ DEPENDENT CHILE ☐ 500 TO 999 ☐ \$10,000 - \$24,999	
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000	SPOUSE SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	GROWTH FUND CL Y ☐ DEPENDENT CHILE ☐ 500 TO 999 ☐ \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 TRANSAMERICA EM	SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 ERGING MARKETS DE	DEPENDENT CHILE DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME EBT FD CL I	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 TRANSAMERICA EM X FILER	SPOUSE SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 ERGING MARKETS DE SPOUSE	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME EBT FD CL I DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET GAIN NET LOSS BUSINESS ENTITY STOCK HELD OR ACQUIRED BY	X FILER LESS THAN 100 LESS THAN 10K X LESS THAN \$5,000 TRANSAMERICA EM X FILER LESS THAN 100 LESS THAN 100	SPOUSE SPOUSE X 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 ERGING MARKETS DE SPOUSE X 100 TO 499 10,000 OR MORE	DEPENDENT CHILE 500 TO 999 \$10,000 - \$24,999 NAME EBT FD CL I DEPENDENT CHILE	1,000 TO 4,999 \$25,000OR MORE

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1 BUSINESS ENTITY	TRP EUOPEAN STOC		NAME	
2 STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
3 NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
4 IF SOLD X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	TWITTER INC	1	NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	100 TO 499	500 TO 999	X 1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	X \$25,000OR MORE
BUSINESS ENTITY	VANCHARD ETSE EA	IERGING MARKETS E	NAME	
STOCK HELD OR				
ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	X LESS THAN 100	100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
BUSINESS ENTITY	VANGUARD GROWT		NAME	
STOCK HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
NUMBER OF SHARES	LESS THAN 100	X 100 TO 499	500 TO 999	1,000 TO 4,999
	LESS THAN 10K	10,000 OR MORE		
IF SOLD	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE

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1	BUSINESS ENTITY	VANO	GUARD HIGH DV		NAME	
2	STOCK HELD OR ACQUIRED BY	X F	ILER	SPOUSE	DEPENDENT CHILD)
3	NUMBER OF SHARES		ESS THAN 100	100 TO 499	☐ 500 TO 999	1,000 TO 4,999
4	IF SOLD X NET G	iAIN	ESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	BUSINESS ENTITY	VANO	GUARD INDUSTF		NAME	
	STOCK HELD OR ACQUIRED BY	XF	ILER	SPOUSE	DEPENDENT CHILD)
	NUMBER OF SHARES		ESS THAN 100 ESS THAN 10K	100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	IF SOLD X NET C	I IXIII	ESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
=						
	BUSINESS ENTITY	VANO	GUARD INFORM	ATION TECH ETF	NAME	
	STOCK HELD OR ACQUIRED BY	VANO			NAME DEPENDENT CHILD)
	STOCK HELD OR	X F	ILER ESS THAN 100	SPOUSE 100 TO 499		1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY	X F	ILER	ATION TECH ETF	DEPENDENT CHILD	
	STOCK HELD OR ACQUIRED BY	X F X LI	ILER ESS THAN 100	SPOUSE 100 TO 499	DEPENDENT CHILD	
_	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET C	X F X LI	ILER ESS THAN 100 ESS THAN 10K	ATION TECH ETF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET CONTROL	X F X LI CAIN OSS X LI	ILER ESS THAN 100 ESS THAN 10K	ATION TECH ETF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD	1,000 TO 4,999
=	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET C	X F X LI CAIN OSS X LI	ILER ESS THAN 100 ESS THAN 10K ESS THAN \$5,000 GUARD MEGA C	ATION TECH ETF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD X NET CONTROL NET LETTER BUSINESS ENTITY STOCK HELD OR	X F X LI SAIN OSS VANC X F	ESS THAN 100 ESS THAN 10K ESS THAN \$5,000 GUARD MEGA C. ILER ESS THAN 100	ATION TECH ETF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 AP ETF SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME	1,000 TO 4,999 \$25,000OR MORE
	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET CONTROL	X F X LI SAIN OSS VANC X F	ILER ESS THAN 100 ESS THAN 10K ESS THAN \$5,000 GUARD MEGA C.	ATION TECH ETF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 AP ETF SPOUSE	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE
=	STOCK HELD OR ACQUIRED BY NUMBER OF SHARES IF SOLD NET CONTROL	X F X LI CAIN OSS VANO X F X LI CAIN VANO X F	ESS THAN 100 ESS THAN 10K ESS THAN \$5,000 GUARD MEGA C. ILER ESS THAN 100	ATION TECH ETF SPOUSE 100 TO 499 10,000 OR MORE \$5,000 - \$9,999 AP ETF SPOUSE 100 TO 499	DEPENDENT CHILD 500 TO 999 \$10,000 - \$24,999 NAME DEPENDENT CHILD	1,000 TO 4,999 \$25,000OR MORE

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ľ	which the child	is listed on the cov	7CI GIICCE.			
1 E	BUSINESS EN	TITY	VIRTUS FOREIGN OF	N PORTUNITIES FUND (NAME CL I	
	STOCK HELD ACQUIRED BY		X FILER	SPOUSE	DEPENDENT CHILD)
3 1	NUMBER OF S	SHARES .	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
4	F SOLD	X NET GAIN NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
E	BUSINESS EN	TITY	WESTERN ASSET HI		NAME	
	STOCK HELD ACQUIRED BY		X FILER	SPOUSE	DEPENDENT CHILD)
<u> </u>	NUMBER OF S	HARES	LESS THAN 100	X 100 TO 499 10,000 OR MORE	500 TO 999	1,000 TO 4,999
	F SOLD	NET GAIN X NET LOSS	X LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
=						
	BUSINESS EN	TITY	WISDOMTREE TRUS	N T JAPAN HEDGE EQUI	IAME ITY FD	
	BUSINESS EN STOCK HELD ACQUIRED BY	OR	WISDOMTREE TRUS)
- S	STOCK HELD	OR ′		T JAPAN HEDGE EQUI	ITY FD) 1,000 TO 4,999
S A	STOCK HELD ACQUIRED BY	OR ′	X FILER X LESS THAN 100	T JAPAN HEDGE EQUI	ITY FD DEPENDENT CHILD	

BONDS, NOTES & OTHER COMMERCIAL PAPER

PART 3

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

List all bonds, notes, and other commercial paper held or acquired by you, your spouse, or a dependent child during the calendar year. If sold, indicate the category of the amount of the net gain or loss realized from the sale. For more information, see FORM PFS--INSTRUCTION GUIDE.

1						
1	DESCRIPTION INSTRUMENT	OF	DALLAS TEX INDPT S	SCH DIST SCH PSF G1	rd oid	
2	HELD OR ACQUIRED BY		X FILER	SPOUSE	DEPENDENT CHILD)
3	IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	DESCRIPTION INSTRUMENT	OF	GILROY CALIF UNI S	CH DIST CTFS PARTN	I SCH AGC	
	HELD OR ACQUIRED BY		X FILER	SPOUSE	DEPENDENT CHILD)
	IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
	DESCRIPTION INSTRUMENT	OF	LOS ANGELES CALIF	DEPT ARPTS ARPT F	REV SER C OID	
	HELD OR ACQUIRED BY		X FILER	SPOUSE	DEPENDENT CHILD)
	IF SOLD	NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
		OF	MIAMI DADE CNITY E	LA AVIATION REV B C	ND ACM	
	DESCRIPTION INSTRUMENT	OF	MIAMI-DADE CNTY F	LAAVIATION KLV B C	IID AGM	
			X FILER	SPOUSE	DEPENDENT CHILD)
	INSTRUMENT HELD OR) \$25,000OR MORE
	HELD OR ACQUIRED BY	☐ NET GAIN	X FILER	SPOUSE	DEPENDENT CHILD	
	HELD OR ACQUIRED BY	☐ NET GAIN	X FILER	SPOUSE	DEPENDENT CHILD	
	HELD OR ACQUIRED BY	☐ NET GAIN	X FILER	SPOUSE	DEPENDENT CHILD	
	HELD OR ACQUIRED BY	☐ NET GAIN	X FILER	SPOUSE	DEPENDENT CHILD	
	HELD OR ACQUIRED BY	☐ NET GAIN	X FILER	SPOUSE	DEPENDENT CHILD	
	HELD OR ACQUIRED BY	☐ NET GAIN	X FILER	SPOUSE	DEPENDENT CHILD	

MUTUAL FUNDS PART 4 If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report. List each mutual fund and the number of shares in that mutual fund that you, your spouse, or a dependent child held or acquired during the calendar year and indicate the category of the number of shares of mutual funds held or acquired. If some or all of the shares of a mutual fund were sold, also indicate the category of the amount of the net gain or loss realized from the sale. For more nformation, see FORM PFS--INSTRUCTION GUIDE. When reporting information about a dependent child's activity, indicate the child about whom you are reporting by providing the number under which the child is listed on the Cover Sheet. MUTUAL FUND NAME THE INVESTMENT COMPANY OF AMERICA-A SHARES OF MUTUAL FUND HELD OR ACQUIRED BY X FILER SPOUSE DEPENDENT CHILD NUMBER OF SHARES OF MUTUAL FUND LESS THAN 100 100 TO 499 500 TO 999 1,000 TO 4,999 X 5,000 to 9,999 10,000 OR MORE 4 IF SOLD NET GAIN LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000--OR MORE NET LOSS

PART 5

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 SOURCE OF INCOME	
1 SOUNCE OF INCOME	NAME AND ADDRESS ALTRIA GROUP INC
X Publicly held corporation	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
2 RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
3 AMOUNT	X \$500 - \$4,999
SOURCE OF INCOME	NAME AND ADDRESS
X Publicly held corporation	AMERICAN AIRLINES GRP INC. ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	X \$500 - \$4,999
SOURCE OF INCOME	NAME AND ADDRESS
_	AMERICAN INTERNATIONAL GROUP INC
X Publicly held corporation	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
X Publicly held corporation	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
X Publicly held corporation RECEIVED BY	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER SPOUSE DEPENDENT CHILD
RECEIVED BY AMOUNT	X FILER SPOUSE DEPENDENT CHILD
RECEIVED BY	X FILER
RECEIVED BY AMOUNT	X FILER
RECEIVED BY AMOUNT SOURCE OF INCOME	X FILER
RECEIVED BY AMOUNT SOURCE OF INCOME	X FILER
RECEIVED BY AMOUNT SOURCE OF INCOME X Publicly held corporation	X FILER

PART 5

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List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 SOURCE OF INCOME	NAME AND ADDRESS AT&T INC
X Publicly held corporation	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
2 RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
3 AMOUNT	X \$500 - \$4,999
SOURCE OF INCOME	NAME AND ADDRESS
X Publicly held corporation	BLACKROCK SCIENCE AND TECH TR ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	X \$500 - \$4,999
0011005 05 11100145	
I SOURCE OF INCOME I	NAME AND ADDRESS
SOURCE OF INCOME	NAME AND ADDRESS CALIFORNIA ST PREREFUNDED-VAR PRF16
X Publicly held corporation	
_	CALIFORNIA ST PREREFUNDED-VAR PRF16
_	CALIFORNIA ST PREREFUNDED-VAR PRF16
X Publicly held corporation	CALIFORNIA ST PREREFUNDED-VAR PRF16 ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
X Publicly held corporation RECEIVED BY	CALIFORNIA ST PREREFUNDED-VAR PRF16 ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER SPOUSE DEPENDENT CHILD
X Publicly held corporation RECEIVED BY AMOUNT SOURCE OF INCOME	CALIFORNIA ST PREREFUNDED-VAR PRF16 ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000 - OR MORE
X Publicly held corporation RECEIVED BY AMOUNT	CALIFORNIA ST PREREFUNDED-VAR PRF16 ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS
X Publicly held corporation RECEIVED BY AMOUNT SOURCE OF INCOME	CALIFORNIA ST PREREFUNDED-VAR PRF16 ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000-OR MORE NAME AND ADDRESS CATERPILLAR INC
X Publicly held corporation RECEIVED BY AMOUNT SOURCE OF INCOME	CALIFORNIA ST PREREFUNDED-VAR PRF16 ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000-OR MORE NAME AND ADDRESS CATERPILLAR INC
RECEIVED BY AMOUNT SOURCE OF INCOME X Publicly held corporation	CALIFORNIA ST PREREFUNDED-VAR PRF16 ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER

PART 5

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List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 SOURCE OF INCOME	NAME AND ADDRESS CSI COMPRESSCO
X Publicly held corporation	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
2 RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
3 AMOUNT	\$500 - \$4,999 \$5,000 - \$9,999 X \$10,000 - \$24,999 \$25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS
X Publicly held corporation	DALLAS TEX INDPT SCH DIST SCH PSF GTD OID ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	X \$500 - \$4,999
SOURCE OF INCOME	NAME AND ADDRESS
SOURCE OF INCOME X Publicly held corporation	NAME AND ADDRESS ENERGY TRANSFER PTNRS LP ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
_	ENERGY TRANSFER PTNRS LP
_	ENERGY TRANSFER PTNRS LP
X Publicly held corporation	ENERGY TRANSFER PTNRS LP ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
X Publicly held corporation RECEIVED BY AMOUNT	ENERGY TRANSFER PTNRS LP ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER SPOUSE DEPENDENT CHILD
X Publicly held corporation RECEIVED BY	ENERGY TRANSFER PTNRS LP ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER
X Publicly held corporation RECEIVED BY AMOUNT	ENERGY TRANSFER PTNRS LP ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER
X Publicly held corporation RECEIVED BY AMOUNT SOURCE OF INCOME	ENERGY TRANSFER PTNRS LP
X Publicly held corporation RECEIVED BY AMOUNT SOURCE OF INCOME	ENERGY TRANSFER PTNRS LP
RECEIVED BY AMOUNT SOURCE OF INCOME X Publicly held corporation	ENERGY TRANSFER PTNRS LP ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER

PART 5

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List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 SOURCE OF INCOME	NAME AND ADDRESS
X Publicly held corporation	FRANKLIN RISING ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
2 RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
3 AMOUNT	X \$500 - \$4,999 S5,000 - \$9,999 S10,000 - \$24,999 S25,000OR MORE
SOURCE OF INCOME	NAME AND ADDRESS
X Publicly held corporation	GILROY CALIF UNI SCH DIST CTFS PARTN SCH AGC ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	X \$500 - \$4,999
SOURCE OF INCOME	
SOURCE OF INCOME X Publicly held corporation	NAME AND ADDRESS JPMORGAN CHASE & COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
	NAME AND ADDRESS JPMORGAN CHASE & COMPANY
X Publicly held corporation	NAME AND ADDRESS JPMORGAN CHASE & COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
X Publicly held corporation RECEIVED BY	NAME AND ADDRESS JPMORGAN CHASE & COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER SPOUSE DEPENDENT CHILD
X Publicly held corporation RECEIVED BY AMOUNT	NAME AND ADDRESS JPMORGAN CHASE & COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE
X Publicly held corporation RECEIVED BY AMOUNT SOURCE OF INCOME	NAME AND ADDRESS JPMORGAN CHASE & COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS LAS VEGAS SAND CORP
X Publicly held corporation RECEIVED BY AMOUNT SOURCE OF INCOME	NAME AND ADDRESS JPMORGAN CHASE & COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS LAS VEGAS SAND CORP
X Publicly held corporation RECEIVED BY AMOUNT SOURCE OF INCOME X Publicly held corporation	NAME AND ADDRESS JPMORGAN CHASE & COMPANY ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS LAS VEGAS SAND CORP ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE

PART 5

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List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 SOURCE OF INCOME	NAME AND ADDRESS
X Publicly held corporation	LOS ANGELES CALIF DEPT ARPTS ARPT REV SER C OID ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
2 RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
3 AMOUNT	X \$500 - \$4,999 S5,000 - \$9,999 S10,000 - \$24,999 S25,000OR MORE
SOURCE OF INCOME X Publicly held corporation	NAME AND ADDRESS MIAMI-DADE CNTY FLA AVIATION REV B OID AGM ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	X \$500 - \$4,999
SOLIDOE DE INCOME	NAME AND ADDRESS
SOURCE OF INCOME X Publicly held corporation	NAME AND ADDRESS NUVEEN SANTA BARBARA DIV GRW FD CL I ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
	NUVEEN SANTA BARBARA DIV GRW FD CL I
X Publicly held corporation	NUVEEN SANTA BARBARA DIV GRW FD CL I ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
X Publicly held corporation RECEIVED BY	NUVEEN SANTA BARBARA DIV GRW FD CL I ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER SPOUSE DEPENDENT CHILD
X Publicly held corporation RECEIVED BY AMOUNT SOURCE OF INCOME	NUVEEN SANTA BARBARA DIV GRW FD CL I ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER
X Publicly held corporation RECEIVED BY AMOUNT SOURCE OF INCOME X Publicly held corporation	NUVEEN SANTA BARBARA DIV GRW FD CL I ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER

PART 5

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List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 SOURCE OF INCOME	NAME AND ADDRESS SOUTHWEST AIRLINES CO
X Publicly held corporation	ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
2 RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
3 AMOUNT	X \$500 - \$4,999
SOURCE OF INCOME	NAME AND ADDRESS
X Publicly held corporation	SPDR S P DIVID ETF ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
RECEIVED BY	X FILER SPOUSE DEPENDENT CHILD
AMOUNT	X \$500 - \$4,999
SOLIBCE OF INCOME	NAME AND ADDRESS
SOURCE OF INCOME X Publicly held corporation	NAME AND ADDRESS SUNAMERICA FOCUSED DIV STR CL W ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
_	SUNAMERICA FOCUSED DIV STR CL W
_	SUNAMERICA FOCUSED DIV STR CL W
X Publicly held corporation	SUNAMERICA FOCUSED DIV STR CL W ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE
X Publicly held corporation RECEIVED BY AMOUNT	SUNAMERICA FOCUSED DIV STR CL W ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000 - OR MORE
X Publicly held corporation RECEIVED BY	SUNAMERICA FOCUSED DIV STR CL W ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER SPOUSE DEPENDENT CHILD
X Publicly held corporation RECEIVED BY AMOUNT	SUNAMERICA FOCUSED DIV STR CL W ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000 - OR MORE NAME AND ADDRESS
RECEIVED BY AMOUNT SOURCE OF INCOME	SUNAMERICA FOCUSED DIV STR CL W ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS THE INVESTMENT COMPANY OF AMERICA-A
RECEIVED BY AMOUNT SOURCE OF INCOME	SUNAMERICA FOCUSED DIV STR CL W ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER SPOUSE DEPENDENT CHILD X \$500 - \$4,999 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE NAME AND ADDRESS THE INVESTMENT COMPANY OF AMERICA-A
RECEIVED BY AMOUNT SOURCE OF INCOME X Publicly held corporation	SUNAMERICA FOCUSED DIV STR CL W ADDRESS / PO BOX; APT / SUITE #; CITY; STATE; ZIP CODE X FILER

PART 5

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List each source of income you, your spouse, or a dependent child received in excess of \$500 that was derived from interest, dividends, royalties, and rents during the calendar year and indicate the category of the amount of the income. For more information, see FORM PFS--INSTRUCTION GUIDE.

	INSTRUCTION GOIDE.					
	When reporting information abou which the child is listed on the Co	t a dependent child's activity over Sheet.	y, indicate the child about v	rhom you are reporting by providing the number	er under	
1	SOURCE OF INCOME		NAME A	ND ADDRESS		
		WAL-MART STORES INC				
	X Publicly held corporation	ADDRESS /		#; CITY; STATE; ZIP CODE		
	rubility field corporation	ADDITESST	TO BOX, ALT TOOLL	m, CITT, STATE, ZII CODE		
Ļ	DEOEN/ED DV					
2	RECEIVED BY	X FILER	SPOUSE	DEPENDENT CHILD		
		[X] TILLIN	☐ 31 003L		_	
3	AMOUNT					
		X \$500 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 \$25,000O	R MORE	
<u> </u>						
ĺ						

PERSONAL NOTES AND LEASE AGREEMENTS

PART 6

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Identify each guarantor of a loan and each person or financial institution to whom you, your spouse, or a dependent child had a total financial liability of more than \$1,000 in the form of a personal note or notes or lease agreement at any time during the calendar year and indicate the category of the amount of the liability. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	BANK OF AMERICA			
2 LIABILITY OF	X FILER	SPOUSE	DEPENDENT CHILD _	
3 GUARANTOR	NONE			
4 AMOUNT	\$1,000 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 X	\$25,000OR MORE
PERSON OR INSTITUTION HOLDING NOTE OR LEASE AGREEMENT	GM FINANCIAL LEA	SING		
LIABILITY OF	X FILER	SPOUSE	DEPENDENT CHILD _	
GUARANTOR	NONE			
AMOUNT	\$1,000 - \$4,999	\$5,000 - \$9,999	\$10,000 - \$24,999 X	\$25,000OR MORE
PERSON OR INSTITUTION	MERCEDES-BENZ FINANCIAL SERVICES			
HOLDING NOTE OR LEASE AGREEMENT				
	X FILER	SPOUSE	DEPENDENT CHILD _	
LEASE AGREEMENT			DEPENDENT CHILD	
LEASE AGREEMENT LIABILITY OF	X FILER			(\$25,000OR MORE
LEASE AGREEMENT LIABILITY OF GUARANTOR	X FILER NONE	SPOUSE		
LEASE AGREEMENT LIABILITY OF GUARANTOR	X FILER NONE	SPOUSE		
LEASE AGREEMENT LIABILITY OF GUARANTOR	X FILER NONE	SPOUSE		

INTERESTS IN REAL PROPERTY

PART 7A

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Describe all beneficial interests in real property held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of "beneficial interest" and other specific directions for completing this section, see FORM PFS--INSTRUCTION GUIDE.

1 HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
2 STREET ADDRESS NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	ST 1305 GREEN HILLS (DUNCANVILLE, TX 7	COURT	DING CITY, COUNTY, AND	STATE
3 DESCRIPTION X LOTS ACRES	NUMBER 1.00000 lots DALLAS	OF LOTS OR ACRES AN	D NAME OF COUNTY WHE	ERE LOCATED
4 NAMES OF PERSONS RETAINING AN INTEREST X NOT APPLICABLE (SEVERED MINERAL INTEREST)				
5 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD)
HELD OR ACQUIRED BY STREET ADDRESS NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS		REET ADDRESS, INCLUI	DEPENDENT CHILE	
STREET ADDRESS NOT AVAILABLE CHECK IF FILER'S	ST 7318 OAKMORE DALLAS, TX 75249-1	REET ADDRESS, INCLUI		STATE
STREET ADDRESS NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS DESCRIPTION X LOTS	ST 7318 OAKMORE DALLAS, TX 75249-1 NUMBER 1.00000 lots	REET ADDRESS, INCLUI	DING CITY, COUNTY, AND	STATE
STREET ADDRESS NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS DESCRIPTION X LOTS ACRES NAMES OF PERSONS RETAINING AN INTEREST X NOT APPLICABLE (SEVERED MINERAL	ST 7318 OAKMORE DALLAS, TX 75249-1 NUMBER 1.00000 lots	REET ADDRESS, INCLUI	DING CITY, COUNTY, AND	STATE

INTERESTS IN REAL PROPERTY

PART 7A

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Describe all beneficial interests in real property held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of "beneficial interest" and other specific directions for completing this section, see FORM PFS--INSTRUCTION GUIDE.

1 HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
2 STREET ADDRESS NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS	ST	REET ADDRESS, INCLUE	OING CITY, COUNTY, AND S	STATE
3 DESCRIPTION X LOTS ACRES	NUMBER 1.00000 lots DALLAS	OF LOTS OR ACRES AN	O NAME OF COUNTY WHEI	RE LOCATED
4 NAMES OF PERSONS RETAINING AN INTEREST X NOT APPLICABLE (SEVERED MINERAL INTEREST)				
5 IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
HELD OR ACQUIRED BY	X FILER	SPOUSE	DEPENDENT CHILD	
STREET ADDRESS NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS		REET ADDRESS, INCLUD	DEPENDENT CHILD	
STREET ADDRESS NOT AVAILABLE CHECK IF FILER'S	STI 1537 PLEASANT RUN DESOTO, TX 75115	REET ADDRESS, INCLUD		STATE
STREET ADDRESS NOT AVAILABLE CHECK IF FILER'S HOME ADDRESS DESCRIPTION LOTS	STI 1537 PLEASANT RUN DESOTO, TX 75115 NUMBER 5.00000 acres	REET ADDRESS, INCLUD	DING CITY, COUNTY, AND S	STATE

INTEREST IN BUSINESS ENTITIES

PART 7B

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Describe all beneficial interests in business entities held or acquired by you, your spouse, or a dependent child during the calendar year. If the interest was sold, also indicate the category of the amount of the net gain or loss realized from the sale. For an explanation of "beneficial interest" and other specific directions for completing this section, see FORM PFS--INSTRUCTION GUIDE.

	which the child is listed on the Co	over Stieet.
1	HELD OR ACQUIRED BY	X FILER SPOUSE DEPENDENT CHILD
2	DESCRIPTION	NAME AND ADDRESS
		(Check if Filer's Home Address) OMEGAL FRAT HOUSE LLC
		320 SOUTH R. L. THORNTON FREEWAY, SUITE 300
3	IF SOLD ☐ NET GAIN	DALLAS, TX 75203-1804
Ĺ	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE
	HELD OR ACQUIRED BY	X FILER SPOUSE DEPENDENT CHILD
	DESCRIPTION	NAME AND ADDRESS
		(Check if Filer's Home Address) ROYCE WEST & ASSOCIATES, P. C.
		320 SOUTH R. L. THORNTON FREEWAY, SUITE 300
L	IF SOLD ☐ NET GAIN	DALLAS, TX 75203-1804
	IF SOLD NET GAIN NET LOSS	LESS THAN \$5,000 S5,000 - \$9,999 S10,000 - \$24,999 S25,000OR MORE
F	HELD OB ACQUIRED BY	
	HELD OR ACQUIRED BY	X FILER SPOUSE DEPENDENT CHILD
	HELD OR ACQUIRED BY DESCRIPTION	NAME AND ADDRESS
		NAME AND ADDRESS (Check if Filer's Home Address)
		NAME AND ADDRESS
		NAME AND ADDRESS (Check if Filer's Home Address) SKYVIEW DEVELOPMENT LLC 320 SOUTH R. L. THORNTON FREEWAY, SUITE 300
	DESCRIPTION	NAME AND ADDRESS (Check if Filer's Home Address) SKYVIEW DEVELOPMENT LLC
		NAME AND ADDRESS (Check if Filer's Home Address) SKYVIEW DEVELOPMENT LLC 320 SOUTH R. L. THORNTON FREEWAY, SUITE 300
	DESCRIPTION IF SOLD NET GAIN NET LOSS	NAME AND ADDRESS (Check if Filer's Home Address) SKYVIEW DEVELOPMENT LLC 320 SOUTH R. L. THORNTON FREEWAY, SUITE 300 DALLAS, TX 75203-1804 LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE
	DESCRIPTION IF SOLD NET GAIN	NAME AND ADDRESS (Check if Filer's Home Address) SKYVIEW DEVELOPMENT LLC 320 SOUTH R. L. THORNTON FREEWAY, SUITE 300 DALLAS, TX 75203-1804
	DESCRIPTION IF SOLD NET GAIN NET LOSS	NAME AND ADDRESS Check if Filer's Home Address) SKYVIEW DEVELOPMENT LLC 320 SOUTH R. L. THORNTON FREEWAY, SUITE 300 DALLAS, TX 75203-1804 LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE X FILER SPOUSE DEPENDENT CHILD NAME AND ADDRESS
	DESCRIPTION IF SOLD NET GAIN NET LOSS HELD OR ACQUIRED BY	NAME AND ADDRESS Check if Filer's Home Address) SKYVIEW DEVELOPMENT LLC 320 SOUTH R. L. THORNTON FREEWAY, SUITE 300 DALLAS, TX 75203-1804 LESS THAN \$5,000
	DESCRIPTION IF SOLD NET GAIN NET LOSS HELD OR ACQUIRED BY	NAME AND ADDRESS Check if Filer's Home Address) SKYVIEW DEVELOPMENT LLC 320 SOUTH R. L. THORNTON FREEWAY, SUITE 300 DALLAS, TX 75203-1804 LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE X FILER SPOUSE DEPENDENT CHILD NAME AND ADDRESS
	DESCRIPTION IF SOLD NET GAIN NET LOSS HELD OR ACQUIRED BY	NAME AND ADDRESS (Check if Filer's Home Address) SKYVIEW DEVELOPMENT LLC 320 SOUTH R. L. THORNTON FREEWAY, SUITE 300 DALLAS, TX 75203-1804 LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE X FILER SPOUSE DEPENDENT CHILD NAME AND ADDRESS (Check if Filer's Home Address) WEST & ASSOCIATES, LLP 320 SOUTH R. L. THORNTON FREEWAY, SUITE 300
	DESCRIPTION IF SOLD NET GAIN NET LOSS HELD OR ACQUIRED BY DESCRIPTION	NAME AND ADDRESS Check if Filer's Home Address) SKYVIEW DEVELOPMENT LLC 320 SOUTH R. L. THORNTON FREEWAY, SUITE 300 DALLAS, TX 75203-1804 LESS THAN \$5,000
	DESCRIPTION IF SOLD NET GAIN NET LOSS HELD OR ACQUIRED BY	NAME AND ADDRESS (Check if Filer's Home Address) SKYVIEW DEVELOPMENT LLC 320 SOUTH R. L. THORNTON FREEWAY, SUITE 300 DALLAS, TX 75203-1804 LESS THAN \$5,000 \$5,000 - \$9,999 \$10,000 - \$24,999 \$25,000OR MORE X FILER SPOUSE DEPENDENT CHILD NAME AND ADDRESS (Check if Filer's Home Address) WEST & ASSOCIATES, LLP 320 SOUTH R. L. THORNTON FREEWAY, SUITE 300

ASSETS OF BUSINESS ASSOCIATIONS

PART 11A

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Describe all assets of each corporation, firm, partnership, limited partnership, limited liability partnership, professional corporation, professional association, joint venture, or other business association in which you, your spouse, or a dependent child held, acquired, or sold 50 percent or more of the outstanding ownership and indicate the category of the amount of the assets. For more information, see FORM PFS--INSTRUCTION GUIDE.

the child is listed to					
1 BUSINESS		NAME AND ADDRESS			
ASSOCIATION		(Check If Filer's Home Address)			
		ROYCE WEST &	ASSOCIATES, P. C.		
		320 SOUTH R. L	THORNTON FREEWAY	Y, SUITE 300	
		DALLAS, TX 752	203-1804		
2 BUSINESS TYPE		1			
2 BUSINESS I TPE	<u> </u>	LEGAL			
3 HELD, ACQUIRE OR SOLD BY	D,	X FILER	SPOUSE	DEPENDENT CHILD	
4 ASSETS			DESCRIPTION	CA	TEGORY
				LESS THAN \$5,000	\$5,000 - \$9,999
				\$10,000 - \$24,999	\$25,000 OR MORE
				1 410,000 42 1,000	\$20,000 OIT MOITE
		LEGAL DOCUM	ENTS AND PAPERS	X LESS THAN \$5,000	\$5,000 - \$9,999
				1	
				\$10,000 - \$24,999	\$25,000 OR MORE
		1			
I					

ASSETS OF BUSINESS ASSOCIATIONS

PART 11A

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1 BUSINESS	NAME AND ADDRESS				
ASSOCIATION		(Check If Filer's Home Address)			
	SKYVIEW DEVELOPM	IENT LLC			
	320 SOUTH R. L. THO	RNTON FREEWAY, SUI	TE 300		
		•			
	DALLAS, TX 75203-18	04			
2 BUSINESS TYPE	REAL ESTATE DEVEL	OPMENT			
3 HELD, ACQUIRED, OR SOLD BY	X FILER	SPOUSE	DEPENDENT CHILD _		
4 ASSETS	DESC	CRIPTION	CATE	GORY	
	320 SOUTH R. L. THO	RNTON FREEWAY - 3	LESS THAN \$5,000	\$5,000 - \$9,999	
	STORY BUILDING		\$10,000 - \$24,999	X \$25,000 OR MORE	
	523 EADS STREET - H UNIMPROVED LAND	OUSE AND	LESS THAN \$5,000	\$5,000 - \$9,999	
			\$10,000 - \$24,999	x \$25,000 OR MORE	
	1008 HUTCHINS AVEN	NUE - TWO VACANT	LESS THAN \$5,000	\$5,000 - \$9,999	
	1015		X \$10,000 - \$24,999		
			X \$10,000 - \$24,999	\$25,000 OK WORE	
	1030 RED OAK STREE	ET - VACANT LOT	LESS THAN \$5,000	\$5,000 - \$9,999	
			, ''		
			X \$10,000 - \$24,999	\$25,000 OR MORE	
			i		

ASSETS OF BUSINESS ASSOCIATIONS

PART 11A

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Describe all assets of each corporation, firm, partnership, limited partnership, limited liability partnership, professional corporation, professional association, joint venture, or other business association in which you, your spouse, or a dependent child held, acquired, or sold 50 percent or more of the outstanding ownership and indicate the category of the amount of the assets. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 BUSINESS ASSOCIATION	NAME AND ADDRESS			
ASSOCIATION	(Check If Filer's Home Address)			
	WEST & ASSOCIATES, LLP			
	320 SOUTH R. L. THORNTON FREEWAY, SUITE 300			
	DALLAS, TX 75203-1804			
2 BUSINESS TYPE	LEGAL			
3 HELD, ACQUIRED, OR SOLD BY	X FILER SPOUSE	DEPENDENT CHILD		
4 ASSETS	DESCRIPTION	CATEGORY		
	EQUIPMENT	LESS THAN \$5,000 \$5,000 - \$9,999		
		\$10,000 - \$24,999 X \$25,000 OR MORE		
	OFFICE FURNITURE	LESS THAN \$5,000 \$5,000 - \$9,999		
		X \$10,000 - \$24,999		
	COMPUTER EQUIPMENT	LESS THAN \$5,000 \$5,000 - \$9,999		
		X \$10,000 - \$24,999		
	CLIENT TRUST ACCOUNT	LESS THAN \$5,000 \$5,000 - \$9,999		
		\$10,000 - \$24,999 X \$25,000 OR MORE		

LIABILITIES OF BUSINESS ASSOCIATIONS

PART 11B

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Describe all liabilities of each corporation, firm, partnership, limited partnership, limited liability partnership, professional corporation, professional association, joint venture, or other business association in which you, your spouse, or a dependent child held, acquired, or sold 50 percent or more of the outstanding ownership and indicate the category of the amount of the assets. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 BUSINESS		NAME	AND ADDRESS	
ASSOCIATION		(Check I	f Filer's Home Address)	
	SKYVIEW DEVELOP	MENTILC		
	320 SOUTH R. L. TH	ORNTON FREEWAY		
	SUITE 300			
	DALLAS, TX 75203-1	1804		
2 BUSINESS TYPE				
	LIMITED LIABILITY (COMPANY		
3 HELD, ACQUIRED, OR SOLD BY	X FILER	SPOUSE	DEPENDENT CHILD _	
4 LIABILITIES	DE	SCRIPTION	CATE	EGORY
	DEBT		i	
			LESS THAN \$5,000	\$5,000 - \$9,999
			\$10,000 - \$24,999	X \$25,000OR MORE
	TENANT DEPOSITS		LESS THAN \$5,000	X \$5,000 - \$9,999
			\$10,000 - \$24,999	\$25,000OR MORE
	I			

BOARDS AND EXECUTIVE POSITIONS

PART 12

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

List all boards of directors of which you, your spouse, or a dependent child are a member and all executive positions you, your spouse, or a dependent child hold in corporations, firms, partnerships, limited partnerships, limited liability partnerships, professional corporations, professional associations, joint ventures, other business associations, or proprietorships, stating the name of the organization and the position held. For more information, see FORM PFS--INSTRUCTION GUIDE.

1 ORGANIZATION	BLACK AMERICA W	EB RELIEF FUND		
2 POSITION HELD	SECRETARY			
3 POSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD	_
ORGANIZATION	CIRCLE TEN COUN	CIL OF BOY SCOUTS (OF AMERICA	
POSITION HELD	EXECUTIVE VICE P	RESIDENT		
POSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATION	EDUCATION IS FRE	EDOM		
POSITION HELD	BOARD MEMBER			
POSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATION	TOM JOYNER FOUN	NDATION, INC.		
POSITION HELD	SECRETARY			
POSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATION	ROYCE WEST & AS	SOCIATES, P.C.		
POSITION HELD	PRESIDENT			
POSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD	
ORGANIZATION	SKYVIEW DEVELOR	PMENT LLC		
POSITION HELD	PRESIDENT			
POSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD	

BOARDS AND EXECUTIVE POSITIONS

PART 12

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List all boards of directors of which you, your spouse, or a dependent child are a member and all executive positions you, your spouse, or a dependent child hold in corporations, firms, partnerships, limited partnerships, limited liability partnerships, professional corporations, professional associations, joint ventures, other business associations, or proprietorships, stating the name of the organization and the position held. For more information, see FORM PFS--INSTRUCTION GUIDE.

ı				
1	ORGANIZATION	WEST & ASSOCIATES ,	LLP	
2	POSITION HELD	MANAGING PARTNER		
3	POSITION HELD BY	X FILER	SPOUSE	DEPENDENT CHILD
	ORGANIZATION	SOUTHWESTERN MED	ICAL FOUNDATION	
	POSITION HELD	AUDIT COMMITTEE ME	MBER	
	POSITION HELD BY	FILER	X SPOUSE	DEPENDENT CHILD
	ORGANIZATION	VISITDALLAS		
	POSITION HELD	FINANCE COMMITTEE	MEMBER	
	POSITION HELD BY	FILER	X SPOUSE	DEPENDENT CHILD
ı				

EXPENSES ACCEPTED UNDER HONORARIUM EXCEPTION

PART 13

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

Identify any person who provided you with necessary transportation, meals, or lodging, as permitted under section 36.07(b) of the Penal Code, in connection with a conference or similar event in which you rendered services, such as addressing an audience or participating in a seminar, that were more than perfunctory. Also provide the amount of the expenditures on transportation, meals, or lodging. You are not required to include items you have already reported as political contributions on a campaign finance report, or expenditures required to be reported by a lobbyist under the lobby law (chapter 305 of the Government Code). For more information, see FORM PFS--INSTRUCTION GUIDE.

1 PROVIDER	NAME AND ADDRESS		
	PRAIRIE VIEW A&M UNIVERSITY - THE COLLEGE OF CRIMINAL JUSTICE AND PSYCHOLOGY		
	P. O. BOX 519, MS 2600		
	PRAIRIE VIEW, TX 77446-0519		
2 AMOUNT			
	\$142.99		
PROVIDER	NAME AND ADDRESS		
PROVIDER	NAME AND ADDRESS INTERNATIONAL LEADERSHIP OF TEXAS-CHINA		
PROVIDER			
PROVIDER	INTERNATIONAL LEADERSHIP OF TEXAS-CHINA		
PROVIDER	INTERNATIONAL LEADERSHIP OF TEXAS-CHINA		
	INTERNATIONAL LEADERSHIP OF TEXAS-CHINA 1820 N. GLENVILLE DR., SUITE 100		
PROVIDER	INTERNATIONAL LEADERSHIP OF TEXAS-CHINA 1820 N. GLENVILLE DR., SUITE 100		

FEES RECEIVED FOR SERVICES RENDERED TO A LOBBYIST OR LOBBYIST'S EMPLOYER

PART 15

If the requested information is not applicable, indicate that on Page 2 of the Cover Sheet, and DO NOT include this page in the report.

	Government Code, or for providin be registered as a lobbyist. Repor	oviding services to or on behalf of a person required to a services to or on behalf of a person you actually know the name of each person or entity for which the service see FORM PFSINSTRUCTION GUIDE.	directly compensates or re	imburses a person required to
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	AT&T SERVICES INC		
2	FEE CATEGORY	LESS THAN \$5,000 \$5,000 - \$9,999	\$10,000 - \$24,999	X \$25,000OR MORE
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	BANK OF AMERICA NA MERCHANT REPORTI	NG	
2	FEE CATEGORY	LESS THAN \$5,000 \$5,000 - \$9,999	X \$10,000 - \$24,999	\$25,000OR MORE
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	DALLAS INDEPENDENT SCHOOL DISTRICT		
2	FEE CATEGORY	LESS THAN \$5,000 \$5,000 - \$9,999	\$10,000 - \$24,999	X \$25,000OR MORE
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	GRAND PRAIRIE INDEPENDENT SCHOOL DIS	STRICT	
2	FEE CATEGORY	X LESS THAN \$5,000 \$5,000 - \$9,999	\$10,000 - \$24,999	\$25,000OR MORE
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	HILLTOP SECURITIES INC.		
2	FEE CATEGORY	LESS THAN \$5,000 \$5,000 - \$9,999	\$10,000 - \$24,999	X \$25,000OR MORE
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	HOUSTON INDEPENDENT SCHOOL DISTRICT	Γ	
2	FEE CATEGORY	LESS THAN \$5,000 \$5,000 - \$9,999	\$10,000 - \$24,999	X \$25,000OR MORE
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	JP MORGAN CHASE & CO		
2	FEE CATEGORY	LESS THAN \$5,000 \$5,000 - \$9,999	\$10,000 - \$24,999	X \$25,000OR MORE
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	KOFILE TECHNOLOGIES GROUP		
2	FEE CATEGORY	LESS THAN \$5,000 \$5,000 - \$9,999	\$10,000 - \$24,999	X \$25,000OR MORE
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	LINEBARGER GOGGAN BLAIR & SAMPSON		
2	FEE CATEGORY	LESS THAN \$5,000 \$5,000 - \$9,999	\$10,000 - \$24,999	X \$25,000OR MORE
_			-	-

FEES RECEIVED FOR SERVICES RENDERED TO A LOBBYIST OR LOBBYIST'S EMPLOYER

PART 15

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(Report any fee you received for progression of the providing of registered as a lobbyist. Report each fee. For more information,	g services to or on behalf of a pe t the name of each person or ent	erson you actually know d ntity for which the services	irectly compensates or rein	mburses a person required to
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	MATTHEWS HOLDINGS SO	SOUTHWEST, INC.		
2	FEE CATEGORY	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	X \$25,000OR MORE
1	PERSON OR ENTITY FOR WHOM SERVICES WERE PROVIDED	WELLS FARGO SECURITIE	IES LLC		
2	FEE CATEGORY	LESS THAN \$5,000	\$5,000 - \$9,999	\$10,000 - \$24,999	X \$25,000OR MORE

PERSONAL FINANCIAL STATEMENT

PARTS MARKED "NOT APPLICABLE" BY FILER

FORM PFS
COVER SHEET
PAGE 2

On this page, indicate any Parts of Form PFS that are not applicable to you. If you do not place a check in a box, then pages for that Part must be included in the report. If you place a check in a box, do NOT include pages for that Part in the report.

6	PAR	TS NOT APPLICABLE TO FILER
		N/A Part 1A - Sources of Occupational Income
	Χ	N/A Part 1B - Retainers
		N/A Part 2 - Stock
		N/A Part 3 - Bonds, Notes & Other Commercial Paper
		N/A Part 4 - Mutual Funds
		N/A Part 5 - Income from Interest, Dividends, Royalties & Rents
		N/A Part 6 - Personal Notes and Lease Agreements
		N/A Part 7A - Interests in Real Property
		N/A Part 7B - Interests in Business Entities
	Χ	N/A Part 8 - Gifts
	Χ	N/A Part 9 - Trust Income
	Χ	N/A Part 10A - Blind Trusts
	Χ	N/A Part 10B - Trustee Statement
		N/A Part 11A - Assets of Business Associations
		N/A Part 11B - Liabilities of Business Associations
		N/A Part 12 - Boards and Executive Positions
		N/A Part 13 - Expenses Accepted Under Honorarium Exception
	Χ	N/A Part 14 - Interest in Business in Common with Lobbyist
		N/A Part 15 - Fees Received for Services Rendered to a Lobbyist or Lobbyist's Employer
	Χ	N/A Part 16 - Representation by Legislator Before State Agency
	Χ	N/A Part 17 - Benefits Derived from Functions Honoring Public Servant
	Χ	N/A Part 18 - Legislative Continuances

covers and in	Texas Ethics Commi	enalty of perjury, that this final pecember 31, 2016, and required to be reported by	onic signature of the ust have the signature notary public or other ancial statement d is true and correct me under chapter
ndividual required to file the personal financial statement. The verification page on a personal financial statement filed with an author the individual required to file the personal financial statement as wells be seen authorized by law to administer oaths and affirmations. I sweat covers and in 572 of	ority other than the Te as the signature and s r, or affirm, under per calendar year ending cludes all information the Government Cod	rexas Ethics Commission mustamp or seal of office of a seal of perjury, that this fining December 31, 2016, and a required to be reported by de.	ust have the signature notary public or other ancial statement is true and correct me under chapter
f the individual required to file the personal financial statement as wells erson authorized by law to administer oaths and affirmations. I sweat covers and in 572 of	r, or affirm, under per calendar year ending cludes all information the Government Cod	enalty of perjury, that this finally of pecember 31, 2016, and a required to be reported by de.	ancial statement d is true and correct me under chapter
covers and in 572 of	calendar year ending cludes all information the Government Cod	ng December 31, 2016 , and n required to be reported by de.	d is true and correct me under chapter
AFFIX NOTARY STAMP / SEAL ABOVE	The		
AFFIX NOTARY STAMP / SEAL ABOVE		Signature of Filer	
AFFIX NOTARY STAMP / SEAL ABOVE			
Sworn to and subscribed before me, by the said			day
Signature of officer administering oath	er administering oath	h Title of officer	r administering oath